MAT-8876US

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No:

To Be Assigned

Applicant:

Ryouichi TAKAYAMA, et al.

Filed:

Herewith

Title:

SURFACE ACOUSTIC WAVE DEVICE AND

METHOD OF MANUFACTURING THE SAME

TC/A.U.:

To Be Assigned

Examiner:

To Be Assigned

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. A copy of the references listed on the PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 2004-343359
- 2) 2003-204244
- 3) 2002-135077
- 4) 2000-183676
- 5) 2004-297693

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**PATENT** 

References 1) - 5) include an English language abstract. Reference 5) also includes a "concise explanation" under 37 CFR § 1.98(a)(3) as set forth in the specification at page 2, line 16.

References 1) - 4) were cited by a foreign patent office in a counterpart foreign application. A copy of this Japanese language International Search Report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609(a)iii, second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-

referenced application.

Respectfully/submitted,

and Add

Lawrence E. Ashery, Reg. No./34,515 Attorney for Applicants

LEA/dmw

Enclosures:

PTO/SB/08a & b (5) References

Dated: August 24, 2006

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## 10/590642

### IAP9 Rec'd PCT/PTO 24 AUG 2006 (07-05) (TMB 07/2005)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known			
Application Number	To Be Assigned	· · · · · · · · · · · · · · · · · · ·	
Filing Date	Herewith		
First Named Inventor	Ryouichi TAKAYAMA, et al.		
Art Unit	To Be Assigned		
Examiner Name	To Be Assigned		
Attorney Docket No.	MAT-8876US		

· · ·			U.S. PATENT DO	CUMENTS	
		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No. <sup>1</sup>	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Examiner Initials*						Τ'
		JP 2004-343359 A (with English abstract)	12/02/2004	FUJITSU MEDIA DEVICE KK, FUJITSU LTD, NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL & TECHNOLOGY		
		JP 2003-204244 A (with English abstract)	07/18/2003	ALPS ELECTRIC CO LTD		
		JP 2002-135077 A (with English abstract)	05/10/2002	MURATA MFG CO LTD		
		JP 2000-183676 A (with English abstract)	06/30/2000	JAPAN RADIO CO LTD		
		JP 2004-297693 A (with English abstract)	10/21/2004	FUJITSU MEDIA DEVICE KK, FUJITSU LTD		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

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<sup>&</sup>lt;sup>2</sup>See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>&</sup>lt;sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>&</sup>lt;sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>&</sup>lt;sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>&</sup>lt;sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### 10/590642 IAP9 Rec'd PCT/PTO 24 AUG 2006 (07-05) (TMB 07/2005)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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SHEET 2 of 2

Complete if Known		
Application Number	To Be Assigned	
Filing Date	Herewith	
First Named Inventor	Ryouichi TAKAYAMA, et al.	
Art Unit	To Be Assigned	
Examiner Name	To Be Assigned	
Attorney Docket No.	MAT-8876US	

NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²		
		Japanese language International Search Report for PCT/JP2006/303023, dated May 23, 2006.			
	<del></del>	l Data			
Examiner Signature		Date Considered			

<sup>1</sup>Applicant's unique citation designation number (optional).

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